



Item No. 13

Meeting Date **Wednesday 4th September 2019**

**Glasgow City
Integration Joint Board
Finance, Audit and Scrutiny Committee**

Report By: Susanne Millar, Interim Chief Officer

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CLINICAL AND PROFESSIONAL QUARTERLY ASSURANCE STATEMENT

Purpose of Report:	To provide the IJB Finance, Audit and Scrutiny Committee with a quarterly clinical and professional assurance statement.
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Background/Engagement:	<p>The quarterly assurance statement is a summary of information that has been provided to, and subject to the scrutiny of the appropriate governance forum.</p> <p>The outcome of any learning from the issues highlighted will then be taken back into relevant staff groups.</p>
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Recommendations:	<p>The IJB Finance, Audit and Scrutiny Committee is asked to:</p> <p>a) consider and note the report.</p>
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Relevance to Integration Joint Board Strategic Plan:

Evidence of the quality assurance and professional oversight applied to health and social care services delivery and development as outlined throughout the strategic plan.

Implications for Health and Social Care Partnership:

Reference to National Health & Wellbeing Outcome:	<p>Contributes to:</p> <p>Outcome 7. People using health and social care services are safe from harm</p> <p>Outcome 9. Resources are used effectively and efficiently in the provision of health and social care services.</p>
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Personnel:	None
Carers:	Offers assurance to carers that quality assurance and professional and clinical oversight is being applied to the people they care for when using health and social care services.
Provider Organisations:	No impact on purchased clinical/social care provider services.
Equalities:	None
Fairer Scotland Compliance:	N/A
Financial:	None
Legal:	This report contributes to the Integration Joint Board's duty to have clinical and professional oversight of its delegated functions.
Economic Impact:	None
Sustainability:	None
Sustainable Procurement and Article 19:	None
Risk Implications:	None
Implications for Glasgow City Council:	The report provides assurance on professional governance.
Implications for NHS Greater Glasgow & Clyde:	The report provides assurance on clinical governance.

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1. Purpose of Report

- 1.1 To provide the IJB Finance, Audit and Scrutiny Committee with a quarterly clinical and professional assurance statement.

2. Background

- 2.1 The Integration Joint Board previously considered and approved in June 2016 a statement format for the provision of specific and routine information with which the Integration Joint Board can be assured that clinical and professional governance is being effectively overseen by the Integrated Clinical and Professional Governance Board, chaired by the Chief Officer. The report can be found at the following link: <https://glasgowcity.hscp.scot/publication/item-no14-clinical-professional-assurance-statement>

- 2.2 Quarterly clinical and professional assurance statements were subsequently provided to the IJB Performance and Scrutiny Committees and more recently the June 2019 statement was presented to this Committee. The link to the most recent statement is available at the link below.

<https://glasgowcity.hscp.scot/publication/item-no-17-clinical-and-professional-quarterly-assurance-statement>

- 2.3 This report provides the IJB Finance, Audit and Scrutiny Committee with the information as agreed within the June 2016 report. Since March 2017 the statements have been presented slightly differently. The format continues to be reviewed with the key information collated up to August 2019 attached in a separate Appendix (Appendix 1) to allow for easier scrutiny. This cover report provides an opportunity to offer more detail on issues related to particular incidents and cases.
- 2.4 There has been a notable increase in Significant Clinical Incidents (SCIs) in Addiction Services in recent months. The context of this may be explained by rigorous governance surrounding learning in relation to drug related deaths and an increase in the volume of Initial Case Reviews (ICR) completed in the most recent period.

3. Integrated Clinical and Professional Governance Board

- 3.1 The Integrated Clinical and Professional Governance Board was due to meet on 6th August but was re arranged. Prior to this the board met on 14th May 2019 and the agenda is attached for information (Appendix 2). During the meeting, further scrutiny of the minutes from the following Governance meetings were considered.

- Social Work Professional Governance Sub Group
- Children & Families / Criminal Justice Clinical and Care Governance Leadership Group
- Older People & Primary Care Clinical and Care Governance Leadership Group
- Mental Health Quality & Clinical Governance Committee

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- Police Custody Healthcare Clinical Governance Committee
- Prison Healthcare Clinical Governance Committee
- Homelessness Care Governance Group
- Sandyford Governance Group

- 3.2 The HSCP, through the Integrated Clinical and Professional Governance Board, and the other Governance forums, continues to emphasise the need to embed a reflective, quality assurance expectation within all sections of the HSCP.
- 3.3 Similarly, there is emphasis on ensuring that Significant Clinical Incident (SCI) and Clinical Incident Review (CIR) processes and procedures of the HSCP are aligned wherever possible and that investigations are joint when more than one service is involved.
- 3.4 Glasgow's Adult and Child Protection Committees have a Significant Case Review Panel which is chaired by the Chief Officer or designated vice chair (currently the Assistant Chief Officer for Public Protection and Complex Needs). The role of the panel is to oversee, on behalf of the committees, all matters relating to SCR's in accordance with the 2015 SCR National Guidance.

4. Significant Case Reviews

- 4.1 In respect of the SCR on child B which was published in January 2019, the learning points have been disseminated and discussed during local Child Protection Management Development sessions. Planning for multi-agency practitioner events across the city is underway and the action/improvement plan based on the learning points is now complete. A further neglect summit is arranged for 5th September 2019, due to the success of the first, with over 700 applications being received.
- 4.2 The CPC SCR into the child fatality in 2017 is progressing, and the lead reviewers are at the stage of identifying the learning points. Once the report is completed, a decision will be made by the Crown Office and Procurator Fiscal Service as to whether a Fatal Accident Inquiry is required.
- 4.3 The APC SCR on the death of a service user who had been in receipt of 24-hour care from a purchased service is ongoing. The service provider has engaged in the review process, as have her family. The report is expected to be completed by October 2019.
- 4.4 The second APC SCR on the service user who had been cared for at home is also ongoing, with the report anticipated by October 2019.
- 4.5 As previously reported once these SCRs are completed there will be an evaluation of the Social Care Institute for Excellence's (SCIE) Learning Together model, which has been implemented for the reviews. Initial feedback from the reviewers indicates that there are positive elements of the model but that the process is somewhat laborious and time-consuming. Following the evaluation, there will be further consideration given to the model we use to conduct SCRs. We are also awaiting new guidance from the Scottish Government on this matter which will also be taken into account.

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5. Multi-Agency Public Protection Arrangements (MAPPA)

- 5.1 In Glasgow the MAPPA arrangements are significantly supported by the role of the MAPPA Coordinator, and governance is provided through the MAPPA Operational Group(MOG), the MAPPA Strategic Oversight Group (SOG) and the Chief Officers Group.
- 5.2 There have been three Initial Notifications submitted to the MAPPA SOG Chair for consideration over the last quarter. In two of the Initial Notifications the decision was made not to progress any further. The information contained within the notification indicated that the cases had been robustly managed and that the offending could not have been prevented. In the third Initial Notification given the severity of the offence (murder) an Initial Case Review was requested and the case will be tabled for discussion at the forthcoming MAPPA SOG for a collective view on whether there is a need to progress to a SCR.
- 5.3 The MAPPA Annual Report is due for publication at the end of October. This is currently underway and the final content and format will be ratified via the MAPPA SOG.
- 5.4 In response to the MAPPA case file audit towards the end of 2018, an action plan has been developed to improve the quality of the pre read information, the risk management plans and the meetings. The action plan response includes further training and briefings for staff involved in MAPPA, updated guidance and increased scrutiny from front line management as to the quality of work produced. Arrangements are currently under way to ensure timely dissemination of this work due to be concluded December 2019 and overseen by the SOG.
- 5.5 In light of any risk associated with MAPPA level 2/3 the audit action plan provides a level of re assurance that the management of these cases is not compromised and they are managed with the rigour necessary to mitigate risk wherever possible and governed through the MAPPA SOG.

6. Self-evaluation Activity

- 6.1 Child Protection Committee – In terms of the multi-agency casefile audit of 100 referrals to Social Work Services, the file-reading phase is complete and analysis has begun. The report will be presented to the September committee. It is anticipated that the findings will generate other areas for evaluation activity. The Neglect Toolkit has been updated and renamed the Assessment of Care Toolkit. A citywide rollout of training is underway and available to social work, health and third sector staff, and the evaluation of this is scheduled for November 2019.
- 6.2 Adult Protection Committee – The analysis of ASP investigations where a Paid Professional/Service Provider was identified as the source of harm has concluded and an action plan is being drawn up to address the findings. An evaluation of the quality of chronologies in ASP practice is in progress, and will be delivered to the September committee. Preparations for the annual audit of ASP practice have commenced, with support from the Care Inspectorate via the link inspector.

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7. Assurance Areas

7.1 Workforce Registration

Workforce registration issues, including conduct and fitness to practice information are reported to the relevant Governance groups. Where necessary detail is also provided to the Integrated Clinical and Professional Governance Board. There are currently no outstanding workforce registration issues.

7.2 Healthcare Associated Infection

Matters associated with healthcare association infection are routinely tabled during the integrated Clinical and Professional Governance Board. During the last quarter there has been nothing to report in this area.

8. Recommendations

8.1 The IJB Finance, Audit and Scrutiny Committee is asked to:

- a) consider and note the report.

Significant Clinical Incidents Quarterly Reporting Jan – Mar 2019

Service	Number of Significant Clinical Incidents Investigations ongoing (Started prior to 1 April 2019)	Number of Significant Incident Investigations Concluded between 1 April 2019 – 30 June 2019	Number of Significant Clinical Incidents Investigations Commenced between 1 April 2019 – 30 June 2019
Addictions	9	1	4
Children and Families	1	1	3
Homelessness	4	0	0
Mental Health Services	28	6	6
Older People and Primary Care	2	0	0
Prison Healthcare	0	2	0
Sandyford	1	2	0

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GLASGOW CITY HEALTH & SOCIAL CARE PARTNERSHIP
Integrated Clinical and Professional Governance Group

Appendix 2

2.00pm on Tuesday, 14th May 2019
in the Triathlon Room, Commonwealth House,
32 Albion Street, Glasgow G1 1LH

AGENDA

1. Apologies for Absence

A-M Rafferty, S Fitzpatrick, M Culshaw, S Priyadarshi, R Stocks & S Tennant

2. Minutes of the Previous Meeting

To approve the minutes of the meeting held on 19th February 2019.

3. Matters Arising

4. eHealth (Anne Malarkey)

5. Governance Group Minutes/Reports

(a) Social Work Professional Governance Sub Group

(b) Children & Families / Criminal Justice Clinical & Care Governance Leadership Group

(c) Older People & Primary Care Clinical & Care Governance Leadership Group

(d) Mental Health Quality & Clinical Governance Committee

(e) Sandyford Governance Group

(f) Homelessness Care Governance Group

(g) Prison Healthcare Clinical Governance Committee

(h) Adult Clinical Governance Committee

(i) Addictions

(j) Police Custody Healthcare Clinical Governance Committee

6. Primary Care and Community Clinical Governance Forum
(J Nugent/A Buchanan)

7. Remit and Membership of the Group - Review

8. Involvement of Public Partner Volunteers in Clinical Governance Forums - Guidance Document (A Buchanan)

Enclosure

Paper

Paper

Paper

Paper

Paper

Paper

Verbal

No Recent Meeting

No Recent Meeting

No Recent Meeting

Papers (a)-(c)

Papers (a)-(b)

Paper

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| 9. Annual Report (A Buchanan) | Paper |
| 10. Use of Restraint Within NHS Facilities (M Smith) | Verbal |
| 11. Any Other Competent Business | |
| 12. Next Meeting | |

Schedule of Meeting Dates 2019

All Meetings 2.00 p.m. – 4.00 p.m. in the Triathlon Room, Commonwealth House

Tuesday 13th August
Tuesday 19th November