



**Item No. 15**

**Meeting Date**

**Wednesday 26<sup>th</sup> October 2022**

**Glasgow City  
Integration Joint Board  
Finance, Audit and Scrutiny Committee**

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**Clinical and Professional Quarterly Assurance Statement**

<b>Purpose of Report:</b>	To provide the IJB Finance, Audit and Scrutiny Committee with a quarterly clinical and professional assurance statement.
<b>Background/Engagement:</b>	<p>The quarterly assurance statement is a summary of information that has been provided to, and subject to the scrutiny of the appropriate governance forum.</p> <p>The outcome of any learning from the issues highlighted will then be considered by relevant staff groups.</p>
<b>Governance Route:</b>	<p>The matters contained within this paper have been previously considered by the following group(s) as part of its development.</p> <p>HSCP Senior Management Team <input type="checkbox"/></p> <p>Council Corporate Management Team <input type="checkbox"/></p> <p>Health Board Corporate Management Team <input type="checkbox"/></p> <p>Council Committee <input type="checkbox"/></p> <p>Update requested by IJB <input type="checkbox"/></p> <p>Other <input type="checkbox"/></p> <p>Not Applicable <input checked="" type="checkbox"/></p>
<b>Recommendations:</b>	<p>The IJB Finance, Audit and Scrutiny Committee is asked to:</p> <p>a) consider and note the report.</p>

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### Relevance to Integration Joint Board Strategic Plan:

Evidence of the quality assurance and professional oversight applied to health and social care services delivery and development as outlined throughout the strategic plan.

### Implications for Health and Social Care Partnership:

#### Reference to National Health & Wellbeing Outcome:

Contributes to:

**Outcome 7.** People using health and social care services are safe from harm.

**Outcome 9.** Resources are used effectively and efficiently in the provision of health and social care services.

#### Personnel:

The report refers to training and development activity undertaken with staff.

#### Carers:

Offers assurance to carers that quality assurance and professional and clinical oversight is being applied to the people they care for when using health and social care services.

#### Provider Organisations:

No impact on purchased clinical/social care provider services.

#### Equalities:

None

#### Fairer Scotland Compliance:

None

#### Financial:

None

#### Legal:

This report contributes to the Integration Joint Board's duty to have clinical and professional oversight of its delegated functions.

#### Economic Impact:

None

#### Sustainability:

None

#### Sustainable Procurement and Article 19:

None

#### Risk Implications:

None

#### Implications for Glasgow City Council:

The report provides assurance on professional governance.

#### Implications for NHS Greater Glasgow & Clyde:

The report provides assurance on clinical governance.

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### 1. Purpose

- 1.1. To provide the IJB Finance, Audit and Scrutiny Committee with a quarterly clinical and professional assurance statement.

### 2. Background

- 2.1. This report seeks to assure the Integration Joint Board that clinical and professional governance is being effectively overseen by the Integrated Clinical and Professional Governance Board, chaired by the Chief Officer.
- 2.2. This report provides the IJB Finance, Audit and Scrutiny Committee with information collated up to June 2022 (attached at Appendix 1 for easier scrutiny). This cover report also provides an opportunity to offer more detail on issues relating to particular incidents and cases.
- 2.3. The most recent quarterly clinical and professional assurance statement was provided to the IJB Finance, Audit and Scrutiny Committee on [15 June 2022](#).
- 2.4. This report also provides assurance that clinical and professional governance arrangements remain a priority during COVID-19 with adjustments made to ensure operational and strategic oversight arrangements remain in place.

### 3. Integrated Clinical and Professional Governance Board

- 3.1. The Integrated Clinical and Professional Governance Board allows further scrutiny of the minutes from the following Governance meetings:
  - Social Work Professional Governance Sub Group
  - Children & Families / Criminal Justice Clinical and Care Governance Leadership Group
  - Older People & Primary Care Clinical and Care Governance Leadership Group
  - Adult Clinical and Care Governance Group
  - Mental Health Quality & Clinical Governance Committee
  - Police Custody Healthcare Clinical Governance Committee
  - Prison Healthcare Clinical Governance Committee
  - Homelessness Care Governance Group
  - Sexual Health Governance Group
- 3.2. The HSCP, through the Integrated Clinical and Professional Governance Board, and the other Governance forums, continues to emphasise the need to embed a reflective, quality assurance expectation within all sections of the HSCP.

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### 4. **Significant Case Reviews (SCRs)/Learning Reviews and SAER activity summary**

- 4.1 **Adult B Action Plan** – continues to be monitored via the QA sub-group and range of SW tasks completed. This includes introduction of updated eforms for ASP (linked to the Duty to Inquire and Investigation stages), awareness raising sessions and development of e-learning modules on related topics.
- 4.2 **Adult A Action Plan** – work ongoing to progress improvement actions/ disseminate learning. This includes an agreement to focus on the Adult A SCR within Practice Development Sessions (Local Management Reviews) being held within the three main SW areas (November/December 2022). A working group is being set up to plan the learning events and ensure a consistent, robust approach to improvement planning. This will be monitored via the QA Subgroup.
- 4.3 **Learning Review Protocol** - Briefing arranged for Service Managers/ Heads of Service to help raise awareness of the Learning Review Protocol, related referral process and suite of materials which have been recently devised to help underpin a robust Learning Review process. Briefing planned for 12 August 2022.

### 5. **Multi-Agency Public Protection Arrangements (MAPPA)**

- 5.1 The new MAPPA guidance published at the end of March 2022 has progressively been rolled out and implemented; oversight to this process has been provided via the MAPPA Operational Group.
- 5.2 Since the last report, the number of Registered Sex Offenders and Extension cases managed at level 2 and 3 have remained static.
- 5.3 The MAPPA Significant Case Review is entering final stages. A meeting with Strategic Oversight Group (SOG) Chair to discuss final considerations has been arranged and final report will be considered via Chief Officers group and all associated learning and dissemination plan will be addressed via SOG. Final report will be circulated to IJB members.
- 5.4 It is positive that the national ViSOR information sharing agreement implementation has been extended until the 31 December 2022, this will enable further consultation and planning to occur.
- 5.5 The MAPPA National Annual Report is expected to be published in October 2022; the Glasgow MAPPA Annual Report will be published following this.

### 6. **Self-evaluation Activity**

- 6.1 Planning is underway to undertake a Tripartite Audit of Adult Support and Protection (ASP) arrangements involving scrutiny of Social Work, Health and Police records – with file reading due to commence week beginning 28 November 2022. The audit will reflect the re-commencement of our annual

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Tripartite Audit programme and will be based on the audit tool which has been devised as part of the National ASP Inspection programme. The audit tool will also be amended to help placed enhanced attention on any particular areas for improvement that might be identified following the external inspection of our ASP processes (currently underway and linked to the National ASP Improvement agenda). It will also include a particular focus on service user participation given the need for regular audit and improvement in this area of practice - as noted in the Revised ASP Code of Practice published July 2022.

### 7. Assurance Areas

- 7.1. **Workforce Registration** - Workforce registration issues, including conduct and fitness to practice information, are reported to the relevant Governance groups. Where necessary detail is also provided to the Integrated Clinical and Professional Governance Board. There are currently no outstanding workforce registration issues.
- 7.2. **Healthcare Associated Infection** - Matters associated with healthcare associated infection are routinely tabled during the Integrated Clinical and Professional Governance Board. During the last quarter there has been nothing to report in this area.
- 7.3 **Joint Inspection of Adult Support and Protection** - We were formally notified of the Joint Inspection of Adult Support and Protection in the Glasgow City Partnership area on 30th May 2022 with the key aim on providing independent scrutiny and assurance on how Partnerships ensure that adults at risk of harm are kept safe, supported and protected.

The Inspection process included key activities: Staff survey, review of a Position statement and supporting documentation, reading of case file records involving an identified sample of cases (40) that ended at Duty to Inquire Stage and a sample that progressed to investigation and beyond (65), and focus groups for both frontline and strategic/leadership staff.

The inspection has since concluded with findings published on 3<sup>rd</sup> October 2022:

<https://www.careinspectorate.com/images/documents/6837/Glasgow%20ASP%20report%20.pdf>

Glasgow City HSCP and partners welcome the findings of the inspection and note the positive progress made in relation to ASP with specific reference to the scoring based on two quality indicators:

- How good were the partnership's key processes for adult support and protection? **Effective**
- How good was the partnership's strategic leadership for adult support and protection? **Very Effective**

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Key findings are as follows:

### Strengths

- The partnership had implemented robust procedures to manage the very high volume of adult protection referrals. It had committed considerable resources and was transforming this area of practice to ensure further improvement.
- Access arrangements into adult support and protection processes were clear and the quality of inquiry work was completed to a high standard.
- Collaborative and robust risk assessments helped to ensure that almost all adults experienced improvements in their circumstances.
- The health and social care partnership had a clear and well understood vision for adult support and protection. This was threaded through adult support and protection strategies. A strong commitment to trauma informed practice underpinned this.
- Audit activity was driving change and improvement across the partnership. There were cohesive governance arrangements that supported this.
- There was engagement of adults at risk of harm in outcome focused and strategic planning activity. Strong foundations were in place to take this work forward. Stronger collaboration between the Service User Representative Group and the Adult Support and Protection Committee was needed.
- Health were strong strategic partners. Almost all the records we read evidenced health involvement most of which recorded adult support and protection matters.
- Most areas of adult support and protection work was completed to a high standard with evidence of effective management oversight.

### Priority areas for improvement

- The quality of chronologies needed improved as did the consistency of decision making around progressing investigations to initial case conference.
- Aspects of case conferences needed improved. Stakeholder invites and attendance, accurate recording of attendees and the reasons why adults at risk of harm did not attend were areas for improvement.

Glasgow City HSCP and partners have a requirement to reflect on all of the findings which will be summarised in an improvement plan in advance of submission to Care Inspectorate on 16<sup>th</sup> November 2022.

- 7.4 **Publication of the Revised ASP Code of Practice** - Launch event held by Scottish Government on 28 July 2022 linked to the publication of the revised Adult Support and Protection guidance suite consisting of: **Code of Practice, Guidance for Adult Protection Committees and Guidance for General Practice**. The updates and revised information take account of developments in policy, practice and legislation (links below) and promotes the vision that ASP is everyone's business. The revised Code of Practice places particular

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emphasis on the significance of adult participation and advocacy, enhanced focus on **support** and protection, highlights the importance of transitions and specific risks and vulnerabilities experienced by young people, and the importance of the Council Officer role in all ASP activity. A key theme is the need for a trauma informed approach to ASP. Work will be undertaken at a local level to help raise awareness of the updated guidance and this will also help to inform our future service delivery and related ASP arrangements.

[Code of Practice](#)  
[Guidance for Adult Protection Committees](#)  
[Guidance for General Practice](#)

### **8. Updates re Child Protection**

#### **8.1 National Guidance for Child Protection in Scotland 2021 was published in September 2021**

- The child protection team have developed an implementation plan that will include an update of the Glasgow Child Protection Procedures based on the information contained in the national guidance and the findings from the consultations.
- A succession of consultations with children and families staff from the HSCP started week beginning 8 August. The consultation plan will involve staff across the HSCP from all areas of children and families and hopes to include comments from all grades.
- A working group has been established led by the principal officer child protection to take this forward, the outcome will be a robust implementation of updated Child Protection Procedures. The consultations hope to identify what works well within current practice and procedure, what we can do better and hopefully have some suggestions that we can take forward for best practice in Glasgow. Child Protection within domestic abuse and how to engage with fathers are also a focus within the consultations.
- Subsequent to this there is a plan to consult with our partners in the child protection sphere, to hear their experience of the process and listen to any suggestions that would enable Glasgow to promote best practice.
- A writing group has been identified to consider the findings from the consultation and update Glasgow's Child Protection Procedures.

#### **8.2 Interagency Referral Discussions (IRD)**

- This remains an area of priority for the child protection team along with colleagues from the HSCP and partners from Police Scotland Health and Education.
- Update of the IRD guidance will be undertaken jointly with partners following an agreed piece of audit work.

#### **8.3 Scottish Child Interview Model**

- Glasgow has established a joint team of social workers and police officers currently trained and implementing the model. The process has started to identify the next cohort of staff to be trained in the model.
- There Strategic and Operational groups established to take forward and roll out the model across Glasgow.

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- 8.4 **Devolved Decision Making Pilot, National Referral Mechanism, (NRM)**
- Glasgow was successful in the application to be part of the roll out of the pilot, which was initially for a year but has been extended for a second year, due to conclude at the end of March 2023.
  - Glasgow intends to show that the child protection processes in Scotland, Glasgow are robust enough to make a decision whether a child is a victim of Human Trafficking and Exploitation.
  - Glasgow is supported by the Scottish Government in this pilot and by all the partners who are members of the trafficking sub group of the CPC.
  - Glasgow has been asked by the Office of the Anti-Slavery Commissioner, Scottish Government, and the Home Office on separate occasions whether Glasgow would offer support to other Scottish authorities who were planning on participating in the pilot to which have agreed. Colleagues in N Ireland have also met with us on two occasions for the same reason.
  - Ipsos MORI are producing an evaluation for the home office from all the pilot sites. (Glasgow is the only Scottish Site) However, Glasgow will produce its own evaluation of the pilot as Glasgow will be able to consider learning specific to them and Scotland that is not available to Ipsos MORI.

## 9. Recommendations

- 9.1 The IJB Finance, Audit and Scrutiny Committee is asked to:
- a) consider and note the report.



**Significant Adverse Event Review Quarterly Reporting  
April – June 2022**

Service	Number of Significant Adverse Event Reviews Commenced in reporting period (1 April – 30 June 2022)	Number of Significant Adverse Event Reviews Concluded in reporting period (1 April – 30 June 2022)	Number of active Significant Adverse Event Reviews Ongoing as at 30 June 2022
Addictions	0	1	5
Children and Families	3	1	22
Homelessness	0	0	2
Mental Health Services	3	6	55
Older People and Primary Care	4	1	15
Police Custody Healthcare	0	0	1
Prison Healthcare	2	1	10
Sandyford	1	0	5