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**Glasgow City Integration Joint Board**  
**Finance, Audit and Scrutiny Committee**

**IJB-FASC (M) 13-09-2023**

Minutes of meeting held virtually, via Microsoft Teams, at 9.30am on Wednesday 13<sup>th</sup> September 2023.

**Present:**

**Voting Members**

Cllr Chris Cunningham	Councillor, Glasgow City Council
Cllr Cecilia O'Lone	Councillor, Glasgow City Council (Chair)
Rona Sweeney	NHSGG&C Board Member
Charles Vincent	NHSGG&C Board Member

**Non-Voting Members**

Angela Bonomy	Third Sector Representative
David Reilly	Independent Sector Representative
Sharon Wearing	Chief Officer, Finance & Resources

**In Attendance:**

Duncan Black	Head of Audit and Inspection
Mike Burns	Assistant Chief Officer, Children's Services
Allison Eccles	Head of Business Development
Duncan Goldie	Planning and Performance Manager
Dominique Harvey	Head of Planning (Children's Services & North East)
Margaret Hogg	Assistant Chief Officer, Finance
Rob Jones	Ernst & Jones (External Auditors)
Tracy Keenan	Assistant Chief Officer, HR
Julie Kirkland	Senior Officer, Governance Support
Claire Maclachlan	Governance Support Officer (minutes)
Susan Orr	Head of Children's Services, Families for Children/ Children's Residential Services
Grace Scanlin	Ernst & Jones (External Auditors)
Pat Togher	Assistant Chief Officer, Public Protection and Complex Needs

**1. Declarations of Interest**

There were no declarations of interest raised.

**2. Apologies for Absence**

There were no apologies for absence.

**3. Minutes**

The minutes of 14<sup>th</sup> June 2023 were approved as an accurate record.

**4. Matters Arising**

There were no matters arising.

**ACTION**

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**5. Rolling Action List**

Allison Eccles presented the Rolling Action List noting there is currently one open action:

Action Ref No. 73 – Internal Audit – CIPFA Audit Committee Guidance – Officers advised that a report will be presented to the September IJB.

**6. Internal Audit – Annual Audit Plan 2023-24 Update**

Duncan Black presented a report to provide feedback on the IJB Development Session discussion on 6<sup>th</sup> September 2023 around the use of flexible capacity in the 2023/24 audit plan, and to propose the utilisation of this audit capacity.

From the feedback received at the IJB Development Session it was proposed that the flexible capacity is utilised on a review of Directions and interactions with GCC/NHS partners. The proposed reviews on sustainability and risk management/strategic plan will be considered as part of the planning process for 2024/25.

Members questioned how the audit on directions would be measured. Auditors advised that it will be similar to the audit carried out on directions in 2016. It will look at the end-to-end process and speak to all partners involved.

The third sector representative questioned when the provider sustainability audit is due to start. Auditors advised that this is due to start in quarter 3. Officers confirmed that they have already been approached by Auditors to start this and clarified that this audit is in relation to provider sustainability payments during Covid-19.

**The IJB Finance, Audit and Scrutiny Committee:**

- a) Noted the update from the IJB Development Session on 6<sup>th</sup> September 2023; and**
- b) Agreed to the proposed utilisation of the flexible capacity within the Internal Audit plan for 2023/24 as outlined at paragraph 2.3 within the report.**

**7. Ernst & Young – Annual Audit Report 2022-23**

Rob Jones presented the Annual Audit Report for 2022-23, highlighting that this is a first-year audit for Ernst & Young.

The revised Code of Audit Practice sets out four dimensions that comprise the wider scope audit for public sector in Scotland.

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**ACTION**

In terms of the risk assessment, Financial Management; Vision, Leadership and Governance; and The Use of Resources to Improve Outcomes have all received a RAG rating of Green. Financial Sustainability has been rated Red which reflects the ongoing challenges facing the IJB and its partners and considers the level of risk and uncertainty outside the IJB's control which could impact the ability to deliver financial balance.

Best Value – The Code explains the arrangements for the audit of Best Value in IJBs. The changes to IJBs anticipated from the Scottish Parliament's National Care Service mean that the Accounts Commission will no longer require the Controller of Audit to report to the Commission on each IJB's performance on its Best Value duty. As a result, the findings from the wider scope work have informed External Auditors' assessment on Best Value themes in 2022/23 and have an overall rating of amber.

Auditors noted thanks to Sharon Wearing and Margaret Hogg for their help and support through the process, acknowledging that first year audits can result in significantly more work for management.

Officers advised that they have had a robust discussion with Auditors relating to the overall amber rating for best value as the assessment is around the future outlook and national perspective, rather than local perspective. Members also raised concern regarding the best value rating.

Auditors acknowledged the view of Officers and Members but highlighted they have a responsibility to look at where risks lie going forward and it is therefore incumbent on them to report it accordingly.

*Post-meeting update: The Auditor removed the RAG rating for best value prior to submission to the IJB on 27<sup>th</sup> September 2023.*

**The IJB Finance, Audit and Scrutiny Committee:**

**a) Noted the contents of the report.**

**8. Audited Annual Accounts**

Margaret Hogg presented a report to provide an update on the completion of the audit of the Annual Accounts.

**The IJB Finance, Audit and Scrutiny Committee:**

- a) Considered the audited Annual Accounts 2022-23; and**
- b) Remit the audited Annual Accounts and the associated Annual Audit Report from Ernst & Young to the IJB for approval and signature.**

**9. Glasgow City Integrated Joint Board Budget Monitoring for Month 3 and Period 4 2023/24**

Margaret Hogg presented a report to outline the financial position of the Glasgow City Integration Joint Board as at 30<sup>th</sup> June 2023 for both Council and Health and highlights any areas of budget pressures and actions to mitigate these pressures.

Officers advised that the forecasted overspend will be presented to the Glasgow City IJB on 27<sup>th</sup> September 2023.

**The IJB Finance, Audit and Scrutiny Committee:**

- a) Noted the contents of the report; and**
- b) Approved the recurring funding for the packages of care outlined at section 5.2.9 of the report.**

**10. HSCP Performance Report Q1 2023-24**

Duncan Goldie presented the Joint Performance Report for the Health and Social Care Partnership for Quarter 1 of 2023-24 for noting. The Committee is also being asked to consider the exceptions highlighted in the report and review and discuss performance with the Strategic Lead for Children's Services (at Item 11).

At Q1, 51 indicators were Green; 37 Red; 7 Amber; and 1 Grey. The indicators which are Red are summarised in the report, with those which have been Red for two or more successive quarters marked in bold.

There has been a change in RAG status for 14 indicators since the last report. Of these, performance improved for 10 and declined for 4.

Discussion took place on performance relating to Unscheduled Care and it was noted that the number of A&E attendances are going down as the new flow navigation hub is helping to divert patients to a more appropriate service. However, those who do require to be at A&E have a level of acuity which requires them to be there longer which results in longer waiting times.

Members asked for more detail on the percentage of looked after and accommodated children aged under 5 (who have been looked after for 6 months or more) who have had a permanency review, which remains below target. Officers advised that this is a complex area of work, and it is difficult to progress permanency plans in cases where the child continues to have high levels of family contact. Children are still subject to ongoing assessment, but it may not be referred to as a permanency review due to a number of factors.

**ACTION**

It was agreed that Officers would take this discussion offline with the Members involved to provide further clarity.

Officers

**The IJB Finance, Audit and Scrutiny Committee:**

- a) Noted the performance report;**
- b) Considered the exceptions highlighted in section 4.3 of the report; and**
- c) Reviewed and discussed performance with the Strategic Lead for Children's Services (at Item 11).**

**11. Performance Presentations**

**Children's Services**

Mike Burns delivered a presentation on Children's Services performance. The presentation is available on the Glasgow City HSCP [website](#).

Members sought assurance on how progress is measured in relation to each of the performance indicators. Officers assured Members that Children's Services performance is discussed through the relevant HSCP governance routes. There are a number of areas showing good progress, but there are areas where progress needs to be made.

Members highlighted the good progress in moving children in residential care back into the city and questioned what the blockages are in moving the remaining 30 children back. Officers advised that the complexity of need of some children is a factor, and it is difficult to accommodate these children into children's houses. A test of change is currently underway with one young person who has returned to Glasgow, with increased staffing in place to support their needs.

**12. Attendance Management**

Tracy Keenan presented a report with an overview of the key HR metrics relating to Attendance Management in Quarter 1 (April to June 2023) as well as performance, notable key issues and the implications for Glasgow City Health & Social Care Partnership (GCHSCP).

There was a discussion regarding the range of different targets for absence and how the figures are presented, with some reported as percentages and others as average days lost. Officers will consider how best to present this in future reports to make it easier to follow.

Officers

Members questioned if there were resource challenges regarding Occupational Health. Officers advised that for NHS staff, Occupational Health sits in-house and there have been improvements and

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	<b>ACTION</b>
<p>appointments going through in a timely manner. For Social Work staff, Occupational Health is carried out by an external contractor, via the Council, and there have been no issues raised.</p> <p>In relation to attendance, Members questioned if staff are rewarded for good attendance. Officers advised that this is not currently acknowledged by the partner organisations however consideration will be given to how this could be recognised locally within the HSCP. It was highlighted however that there are different ways of recording absence in the partner organisations, i.e., Covid absences are treated differently.</p> <p><b>The IJB Finance, Audit and Scrutiny Committee:</b></p> <p>a) <b>Noted the findings made within the report and the data included; and</b> b) <b>Noted the actions to improve the current position.</b></p>	
<p><b>13. Clinical and Professional Quarterly Assurance Statement</b></p> <p>Pat Togher presented the quarterly clinical and professional assurance statement for noting.</p> <p><b>The IJB Finance, Audit and Scrutiny Committee:</b></p> <p>a) <b>Noted and considered the contents of the report.</b></p>	
<p><b>14. Risk Management Quarterly Update Q1 (2023-24)</b></p> <p>Allison Eccles presented a report to provide an update to the IJB Finance, Audit and Scrutiny Committee on the status of the IJB's risk register and to highlight key operational risks being managed in the Glasgow City Health and Social Care Partnership. The report covers the review carried out in respect of changes to risk in Quarter 1 (1 April 2023 to 30 June 2023).</p> <p><b>The IJB Finance, Audit and Scrutiny Committee:</b></p> <p>a) <b>Noted the report; and</b> b) <b>Noted the risks on the IJB Risk Register at the end of Quarter 1 2023-24.</b></p>	
<p><b>15. Next Meeting</b></p> <p>The next meeting was noted as Wednesday 25<sup>th</sup> October 2023 at 9.30am via Microsoft Teams.</p>	