



Item No. 13

Meeting Date Wednesday 15th April 2026

**Glasgow City
Integration Joint Board
Finance, Audit and Scrutiny Committee**

Report By: Duncan Black, Depute Chief Officer, Finance and Resources

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Inspection and Audit Reporting Routes

Purpose of Report:	This report provides an update to the IJB Finance Audit and Scrutiny Committee (FASC) regarding an open Rolling Action to provide assurance that inspections and other third line functions are reported to FASC to ensure the Committee has oversight of any recommendations and requirements.
Background/Engagement:	<p>A report was presented to FASC in September 2025 regarding the Joint Inspection of Services for Children and Young People at Risk of Harm in Glasgow City. The Committee wanted to be assured that inspections and similar third line functions were reported to the committee to allow oversight. This was added to the Rolling Action List (RAL) as item 88 from the October 2025 meeting onwards.</p> <p>Officers proceeded to scope the remit of the FASC and outline each of the types of activity that fall within the remit of the Committee and where and how that is reported and monitored. This report seeks to provide clarity and assurance that all functions are being monitored and reported accordingly and where gaps have been identified to offer acceptable recourse.</p>
Governance Route:	<p>The matters contained within this paper have been previously considered by the following group(s) as part of its development.</p> <p>HSCP Senior Management Team <input type="checkbox"/></p> <p>Council Corporate Management Team <input type="checkbox"/></p> <p>Health Board Corporate Management Team <input type="checkbox"/></p> <p>Council Committee <input type="checkbox"/></p>

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	Update requested by IJB <input checked="" type="checkbox"/> Update on a Rolling Action from FASC Other <input type="checkbox"/> Not Applicable <input type="checkbox"/>
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Recommendations:	The IJB Finance, Audit and Scrutiny Committee is asked to: a) Note the contents of the report; and b) Agree to close the open RAL entry.
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Relevance to Integration Joint Board Strategic Plan:
To provide assurance on all work conducted in line with the Strategic Plan.

Implications for Health and Social Care Partnership:

Reference to National Health & Wellbeing Outcome:	None
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Personnel:	None
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Carers:	None
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Provider Organisations:	None
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Equalities:	None
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Fairer Scotland Compliance:	None
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Financial:	None
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Legal:	The IJB will be compliant with the CIPFA Audit Committee guidance.
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Economic Impact:	None
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Sustainability:	None
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Sustainable Procurement and Article 19:	None
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Risk Implications:	Internal Audit facilitates the reduction of risks identified during the audit process.
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Implications for Glasgow City Council:	The Internal Auditors of Glasgow City Council will continue to report to the Council on operational matters relating to Social Care services.
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Implications for NHS Greater Glasgow & Clyde:	The Internal Auditors of the NHSGG&C will continue to report to the NHS Board on operational matters relating to NHS services.
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1. Purpose

- 1.1 This report provides an update to the IJB Finance, Audit and Scrutiny Committee (FASC) regarding an open Rolling Action to provide assurance that inspections and other third line functions are reported to FASC to ensure the Committee has oversight of any recommendations and requirements.

2. Background

- 2.1 A report was presented to FASC in [September 2025](#) regarding the Joint Inspection of Services for Children and Young People at Risk of Harm in Glasgow City. The Committee wanted to be assured that inspections and similar third line functions were reported to the committee to allow oversight. This was added to the [Rolling Action List](#) (RAL) as item 88 from the October 2025 meeting onwards.
- 2.2 Officers proceeded to scope the remit of the FASC and outline each of the types of activity that falls within the remit of the Committee and where and how that is reported and monitored. This report seeks to provide clarity and assurance that all functions are being monitored and reported accordingly and where gaps have been identified to offer acceptable recourse.

3. Finance Audit and Scrutiny Committee Remit

- 3.1 The [Terms of Reference](#) for the FASC outline the purpose as *reviewing audit and inspection reports; promoting the observance of high standards of financial propriety; and for receiving updates on and scrutinising progress with key pieces of work across the Health and Social Care system.*
- 3.2 Within the many remits detailed are:
- Receiving and considering summaries of internal and external audit reports which relate to any issue falling within the remit of the Integration Joint Board
 - Reviewing reports of external inspections of health and social care services and facilities within Glasgow City.
- 3.3 The FASC work plan is included in the meeting papers as item no. 14. The work plan details upcoming agenda items throughout the year There are a number of regular reports that are scrutinised at FASC, these include:
- Annual Audit Plan and Annual Audit Report
 - Adult Support and Protection Annual Self Evaluation
 - Clinical and Professional Quarterly Assurance Statement
 - FASC Workplan
- 3.4 **Internal Audit**
The Integration Joint Board is required to comply with Article 7 of the Local Authority Accounts (Scotland) Regulations 2014. The regulations require a local authority to operate a professional and objective internal auditing service in accordance with recognised standards and practices in relation to internal auditing.

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These reports are presented to Glasgow City IJB Finance, Audit and Scrutiny Committee and detail the Internal Audit work undertaken at Glasgow City Council and NHS Greater Glasgow and Clyde that may have an impact upon Glasgow City IJB. They are presented to FASC as an annual report, an annual plan and various update reports that cover the ongoing progress with recommendations and requirements arising from internal audit activity.

3.5 **Clinical and Professional Quarterly Assurance Statement**

This report seeks to assure the IJB Finance, Audit and Scrutiny Committee that clinical and professional governance is being effectively overseen by the Integrated Clinical and Professional Governance Group which is chaired by the Chief Officer of Glasgow City HSCP. The most recent Assurance Statement was presented to FASC in [February 2026](#).

3.6 This report covers a number of areas in scope with this exercise, detailing:

- Glasgow City Integrated Clinical and Professional Governance Group oversight of Serious Adverse Event Reviews
- Mental Health Services Clinical Governance Group oversight of unannounced joint Mental Welfare Commission/Healthcare Improvement Scotland Inspections
- Social Work Professional Governance Board oversight of local practice audits and evaluations such as Safe and Together – helping families experiencing domestic abuse.
- Multi Agency Public Protection Arrangements (MAPPA) overseen by the Strategic Oversight Board are also highlighted and reported here.
- Adult Support and Protection (ASP) local audit progress to ensure practice is in line with the recently published Care Inspectorate's Quality Improvement Framework is detailed in section 4.6
- Child Protection (CP) quality assurance and practice audit is covered in section 4.7
- Glasgow City HSCP has a wide range of health and social care services that are required to comply with the legislative requirements of the Health and Care (Staffing) (Scotland) Act 2019 (HCSSA). This is covered in section 4.8
- Duty of Candour cases are covered in Section 5, although an annual report on this is also brought to FASC in October.
- Learning Reviews resulting from ASP and CP cases covered in section 6.
- Section 7 covers all external scrutiny visits and inspections are reported, including;
 - Mental Welfare Commission (MWC)
 - Care Inspectorate

3.7 **Care Inspectorate**

Care Inspectorate (CI) reports are scheduled on the work plan as annual updates in February. They are presented to the Social Work Professional Governance Board in advance for oversight of recommendations and requirements. When the Care Inspectorate grading is 2 (adequate) or below, a report and action plan will be presented to FASC as soon as possible.

3.8 At the most recent [February 2026](#) meeting of FASC there were reports from CI Inspection activity on Fostering and Adoption Services, Children's Residential Services, and Older People's Residential Services.

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3.9 Action Plans for services to meet with requirements and recommendations are largely operational and will only be updated to FASC by exception where there are issues with the completion of activities.

3.10 Other

There is also bespoke audit activity which comes to FASC when it is known such as:

- Health Improvement Scotland Inspections
- His Majesty's Chief Inspector of Prisons for Scotland (HMCIPS) Inspections
- Independent National Whistleblowing Officer Reports
- Information Commissioners Office Reports
- Telecare Services Association (TSA) Audits
- Audit Scotland
- External Audit
 - Ernst & Young (presented annually in February)
 - Azets (NHSGGC Auditors)

3.11 Where these reports become available and known to the HSCP they are scheduled into the FASC forward planner to be presented at the first available sitting of the Committee.

4 Next Steps

4.1 Officers have carried out a review of inspections or external audit activity that are remitted to FASC to scrutinise alongside the current executive governance arrangements for progressing and tracking consequent recommendations.

4.2 Where reported to FASC through existing reporting mechanisms, it is proposed not to duplicate this through additional reports. Where activity is not routinely reported to FASC through existing channels this will be subject to standalone reports.

4.3 Officers will continue to monitor/track the operational implementation of recommendations and will report or escalate to FASC on request, or by exception where there is significant delay in completing recommendations. Therefore, it is not recommended that an additional report or log be created to report at FASC every 6 months but instead continue to monitor and ensure that all audit activity is reported and where there are known compliance issues following audit recommendations or requirements, they are highlighted to the Committee.

5 Recommendations

5.1 The IJB Finance, Audit and Scrutiny is asked to:

- a) Note the contents of the report; and
- b) Agree to close the open RAL entry.